



Food and  
Nutrition  
Service

June 16, 2022

Braddock  
Metro Center

Lourdes Garcia  
Puerto Rico Department of Education  
P.O. Box 190759  
San Juan, PR 00919-0759

1320  
Braddock  
Place  
Alexandria  
VA 22314

Dear Lourdes Garcia:

This letter is in response to the Puerto Rico Department of Education's (AESAN) June 8, 2022, request to provide operational flexibilities in the National School Lunch Program (NSLP), School Breakfast Program (SBP), Special Milk Program (SMP), Fresh Fruit and Vegetable Program (FFVP), Child and Adult Care Food Program (CACFP), Summer Food Service Program (SFSP), and/or the National School Lunch Program Seamless Summer Option (SSO) for summer 2022 and school year (SY) 2022-2023. Program operators continue to respond to and recover from the COVID-19 pandemic, including managing challenges related to increased administrative burden and staffing issues, which are demanding significant Program operator resources. In order to support access to nutritious meals while State agencies continue to mitigate the administrative, fiscal, and operational challenges exacerbated by COVID-19, FNS recognizes that continued operating flexibilities may be necessary.

FNS did not receive legislative authorization to issue new nationwide waivers beyond SY 2021-2022, as previously provided under the Families First Coronavirus Response Act (FFCRA) (P.L. 116-127), as extended by the Continuing Appropriations Act, 2021 and Other Extensions Act (P.L. 116-159). Therefore, State agencies must request a statewide waiver under Section 12(l) of the Richard B. Russell National School Lunch Act (NSLA), 42 U.S.C. 1760(l), to obtain approval for certain flexibilities previously provided under the nationwide waiver authority.

To grant a Section 12(l) waiver, the NSLA requires that the waiver must facilitate the purpose of the program, the public must receive notice and information regarding the proposed waiver, and the waiver will not increase the overall cost of the Program to the Federal Government. FNS finds that AESAN's waiver request satisfies these statutory requirements.

Specifically, AESAN requested a statewide waiver of the requirements explained below.

### **School Year Operations (NSLP/SBP)**

The waivers in this section are intended to provide needed flexibility to support school food authorities (SFAs) in continuing to offer nutritious meals. While these waivers are available through June 30, 2023, flexibilities under these waivers should only be implemented by Program operators when congregate meal service is limited by the COVID-19 pandemic.

*Waiver 3: Non-Congregate Meal Service (NSLP and SBP)*

Under the NSLA, 42 U.S.C. 1753(b)(1)(A), the Child Nutrition Act, 42 U.S.C. 1773(b)(1)(A), NSLP and SBP meals must be served in a congregate setting and must be consumed by participants on site.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, FNS waives the aforementioned requirements to serve meals through the NSLP and SBP in a congregate setting. Any other requirements referenced in these provisions remain in effect.

*Waiver 4: Parent and Guardian Meal Pick Up (NSLP and SBP)*

Under program regulations at 7 CFR 210.10(a), 7 CFR 220.2 (Breakfast), and 7 CFR 220.8(a), meals must be served to eligible children.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, FNS waives the requirement that meals may only be served directly to children. Any other requirements referenced in these provisions remain in effect. State agencies opting to use this flexibility must have a plan for ensuring that local operators are able to maintain accountability and program integrity. This includes putting in place processes to ensure that meals are distributed only to parents or guardians of eligible children, and that duplicate meals are not distributed to any child.

*Waiver 5: Meal Service Times (NSLP and SBP)*

Under program regulations at 7 CFR 210.10(l) and 7 CFR 220.8(l), meals served in the NSLP and SBP must follow meal service time requirements.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, FNS waives the aforementioned requirements that set meal time parameters for school lunch and breakfast.

*Waiver 6: Offer Versus Serve (NSLP)*

Under the NSLA, 42 U.S.C. 1758(a)(3) and program regulations at 7 CFR 210.10(e), Program operators of senior high schools (as defined by the State education agency) must participate in offer versus serve at lunch.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, FNS waives the requirement to serve school lunches to senior high school students using offer versus serve. FNS also extends the offer versus serve flexibility to SSO for the duration of this waiver, when there is an unanticipated school closure during the school year.

**School Year Administration (NSLP/SBP/SFSP)**

The waivers in this section allow State and local Program operators to overcome administrative challenges resulting from COVID-19 and to appropriately allocate their

limited staffing resources to meal service to best ensure safe service of meals to children as they respond to and recover from the COVID-19 pandemic. FNS expects program operators to use the flexibilities for only the duration and extent that they are needed.

*Waiver 7: Local School Wellness Policy Triennial Assessment (NSLP)*

Section 9A(b) of the NSLA, 42 U.S.C. 1758b(b), establishes requirements for local school wellness policies related to implementation, evaluation, and publicly reporting on progress of local school wellness policies. FNS regulations further require at 7 CFR 210.31(e)(2) that, at least once every three years, local educational agencies (LEAs) assess compliance with the local school wellness policy and make assessment results available to the public.

Based on the July 1, 2017, implementation of the local school wellness policy regulations, the first triennial assessments were due June 30, 2020. On April 2, 2020, FNS issued a nationwide waiver delaying the deadline for the first triennial assessment to June 30, 2021, and on June 11, 2021, FNS issued a nationwide waiver delaying the deadline for the first triennial assessment to June 30, 2022. FNS recognizes that States and schools continue to devote additional resources to pandemic recovery and the transition back to normal operations. Due to this ongoing pandemic response and recovery, the resources required to meet the upcoming Local School Wellness Policy triennial assessment deadlines are better spent planning for the upcoming school year and ensuring that students are fed.

Pursuant to the Section 12(l) waiver authority cited above, FNS waives, for Program operators the above referenced statutory and regulatory requirements and provides a new first triennial assessment deadline of June 30, 2023. Accordingly, LEAs required to complete a triennial assessment by June 30, 2022, may choose to accept the new regulatory deadline and instead complete their first assessment by June 30, 2023. These LEAs then would complete a second triennial assessment by June 30, 2026. FNS recognizes that some LEAs may be prepared to complete their triennial assessment by June 30, 2022, and encourages those that are prepared to do so to complete their assessments on time.

*Waiver 9: Administrative Review Onsite Requirements (NSLP and SBP)*

Under Program regulations at 7 CFR 210.8(a)(1), 210.9(c)(7), 210.16(a)(3), 210.18, 220.7(d)(1)(iii), and 220.11(d)(1), SFAs are required to conduct portions of the administrative review onsite. However, FNS recognizes that during this transition year and in order to provide meals and meal supplements and ensure continuity of operations, suspending onsite monitoring is vital.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, FNS waives 7 CFR 210.8(a)(1), 210.9(c)(7), 210.16(a)(3), 210.18, 220.7(d)(1)(iii), and 220.11(d)(1) to exclude the onsite monitoring requirements. Please note that to ensure Program integrity during this time, State agencies and SFAs should continue monitoring activities of Program operations offsite (e.g., through a desk

audit). This waiver is effective when the public health emergency, which was declared on January 31, 2020, by the United States Department of Health and Human Services, ends and continues through June 30, 2023.

*Waiver 10: FNS-874 Second (Independent) Review of Applications (NSLP)*

Under 42 U.S.C 1769c(b)(6)(E)(iii) and program regulations at 7 CFR 245.11(b)(2), each State agency must submit a report, as specified by FNS, describing the results of the second reviews of eligibility determinations conducted by each LEA in their State. The report must provide information about applications reviewed in each LEA and include activities required under 7 CFR 245.11. This report is due March 15<sup>th</sup> of each year.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, FNS waives 7 CFR 245.11(b)(2) to permit States the time to focus on continuity of operations during this transition year, and in recognition of the fact that nationwide waiver flexibilities adopted by State agencies during the prior two school years extensively impacted free and reduced price certifications, as well as oversight activities. This waiver pertains to the requirement to submit the FNS-874 report due March 15, 2023, which would provide information about application reviewed in each LEA and include activities required under 7 CFR 245.11.

This waiver only applies to the reporting requirements associated with the independent review process. The underlying requirement for LEAs that collect school lunch program applications in SY 2022-2023 to conduct independent reviews as applicable remains in effect.

*Waiver 11: FNS-640 Administrative Review Data Report (NSLP)*

Under the NSLA, 42 U.S.C. 1769c(b)(1)(C)(iv) and program regulations at 7 CFR 210.18(n), State agencies shall submit to FNS each year the FNS-640 report containing the results of the reviews conducted in the preceding school year. This report is due March 1<sup>st</sup> of each year.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, FNS waives 7 CFR 245.11(b)(2) to permit States the time to focus on continuity of operations, and in recognition that the FNS-640 report for SY 2022-2023 would cover a time period during which operations were extensively impacted by nationwide waiver flexibilities. This waiver pertains to the requirement to submit the FNS-640 report due March 1, 2023, which would provide data collected from conducted administrative reviews for SY 2021-2022.

**Fresh Fruit and Vegetable Program Operations**

The waivers in this section are effective July 1, 2022, through June 30, 2023. However, FNS expects that flexibilities under these waivers will only be implemented by Program operators when congregate service is limited by the COVID-19 pandemic.

*Waiver 14: Parent and Guardian Pick Up (FFVP)*

Section 19(b) of the NSLA (42 U.S.C. 1769a), requires Program operators to provide FFVP foods directly to students, not to parents and guardians.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, this waiver allows the State agency to approve SFAs participating in FFVP to provide FFVP foods to parents or guardians of children whose elementary school is closed and who are learning virtually.

*Waiver 15: Alternate Site (FFVP)*

Section 19(a) of the NSLA (42 U.S.C. 1769a(a)), limits availability of FFVP foods to elementary schools.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, this waiver allows the State agency to approve SFAs participating in FFVP to provide FFVP foods at sites that are not FFVP elementary schools when FFVP elementary schools are closed.

**Child and Adult Care Food Program Operations**

The waivers in this section apply to CACFP. These waivers are effective July 1, 2022, through June 30, 2023. However, FNS expects that flexibilities under these waivers will only be implemented by Program operators when congregate meal service is limited by the COVID-19 pandemic.

*Waiver 20: Non-Congregate Meal Service (CACFP)*

Under the NSLA, 42 U.S.C. 1753(b)(1)(A) and program regulations at 7 CFR 226.19(b)(6)(iii), CACFP meals must be served in a congregate setting and must be consumed by participants on site.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, FNS waives the aforementioned requirement to serve meals through CACFP in a congregate setting. Any other requirements referenced in this provision remain in effect.

*Waiver 21: Parent and Guardian Meal Pick Up (CACFP)*

Under Program regulations at 7 CFR 226.2 (Meals), meals must be served to eligible children and eligible adult participants.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, FNS waives the aforementioned requirement that meals may only be served directly to children and adult participants. Any other requirements referenced in these provisions remain in effect.

*Waiver 22: Meal Service Times (CACFP)*

Under Program regulations at 7 CFR 226.20(k) meals served in the CACFP must follow meal service time requirements.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, FNS waives the aforementioned requirements that set meal time parameters for CACFP institutions and facilities.

**Child and Adult Care Food Program Administration**

The waivers in this section are effective when the public health emergency, which was declared on January 31, 2020, by the United States Department of Health and Human Services, ends and continue through June 30, 2023.

*Waiver 23: State Agency Onsite Monitoring Visits (CACFP)*

Under Program statute and regulations, State agencies are required to conduct one scheduled visit of CACFP institutions at not less than 3-year intervals. CACFP regulations at 7 CFR 226.6(m)(6) go on to require State agencies to annually review at least 33.3 percent of all CACFP institutions. Additionally, per 7 CFR 226.6(b)(1), State agencies are required to do a pre-approval visit of all new CACFP sponsors. However, FNS recognizes that as the country continues to respond to COVID-19, onsite monitoring may not be possible.

Therefore, pursuant to the Section 12(l) waiver authority cited above, FNS waives the requirement that CACFP monitoring requirements included in 7 CFR 226.6(b)(1) and 226.6(m)(6) be conducted onsite. Please note that to ensure Program integrity during this time, State agencies that elect to use this waiver should continue monitoring activities of Program operations offsite (e.g., through a desk audit).

*Waiver 24: Sponsoring Organization Onsite Monitoring Visits (CACFP)*

Under Program regulations at 7 CFR 226.16(d)(4)(iii), CACFP sponsoring organizations must review each facility three times each year and: 1) at least two of the three reviews must be unannounced; 2) at least one unannounced review must include observation of meal service; 3) at least one review must be made during each new facility's first four weeks of Program operations; and 4) not more than six months may elapse between reviews. However, FNS recognizes that as the country continues to respond to COVID-19, onsite monitoring may not be possible.

Therefore, pursuant to the Section 12(l) waiver authority cited above, FNS waives the requirement that CACFP monitoring requirements included in 7 CFR 226.16(d)(4)(iii) be conducted onsite. Please note that to ensure Program integrity during this time, CACFP sponsoring organizations that elect to use this waiver should continue monitoring activities of Program operations offsite (e.g., through a desk audit).

**Additional Flexibilities (NSLP/SBP):**

The following waivers provide needed flexibility and allow State and local Program operators to overcome challenges resulting from COVID-19. FNS expects Program operators will only use these flexibilities for the duration and extent that they are needed.

*Waiver 25: Paid Lunch Equity (NSLP)*

Under Program requirements, SFAs must meet Paid Lunch Equity (PLE) requirements as outlined in Section 12(p) of the NSLA, 42 U.S.C. 1760(p) and implemented in NSLP regulations at 7 CFR 210.14(e). Currently through Section 752, Division A of the Consolidated Appropriations Act of 2022 (P.L. 117-103) enacted on March 15, 2022, Congress provided that only SFAs that had a negative balance in the nonprofit school food service account as of December 31, 2021, shall be required to establish prices for paid lunches according to the PLE provision.

In meeting PLE requirements, SFAs may need to increase paid lunch prices or offset any difference with non-Federal funds. However, FNS recognizes that during this transition year requiring SFAs to increase their paid meal prices could place a burden upon families who have not paid for meals over the last two school years.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, FNS waives paid lunch equity requirements found at Section 12(p) of the NSLA, 42 U.S.C. 1760(p) and implemented at 7 CFR 210.14(e). This waiver pertains to school lunch prices for SY 2022-2023.

Pursuant to the waiver authority granted at Section 12(l) of the NSLA, FNS approves AESAN's waiver request for the provisions mentioned above. Effective dates of each waiver are listed in the associated sections above. The State agency should inform Program operators of the flexibilities provided by this waiver as quickly as possible. Should AESAN determine any of these waivers are no longer necessary prior to the expiration date of any waivers above, please notify the Mid-Atlantic Regional Office (MARO).

The waiver authority at Section 12(l) of the NSLA requires FNS to review the performance of any State or eligible service provider that was granted a waiver. Therefore, by October 30, 2023, AESAN must provide to the FNS MARO a report quantifying the impact of the waivers included in this approval as described below.

State agencies may submit one report for all waivers included in this approval. The report must include the following:

- A description of how the waivers impacted meal service operations at participating sites;
- A description of whether the waivers resulted in improved services to children;
- A description of how the waivers reduced the quantity of paperwork necessary to administer the Program; and

- The number of program operators that used the waivers.

FNS appreciates AESAN's exceptional effort to meet the nutritional needs of children during this challenging time. If you have questions, please contact the FNS MARO.

Sincerely,

**Original Signed**

Sarah Smith-Holmes  
Director  
Program Monitoring and Operational Support Division

Electronic Copy: Tim Walsh, CACFP and SFSP Branch Chief  
Michelle Frey, NSLP Branch Chief



